



AMW CAPITAL LEASING & FINANCE PLC

Know Your Customer (KYC) Form – Individuals

(Requirement in terms of Financial Transactions Reporting Act No 06 of 2006)

Please tick (✓)
appropriate boxes.

For Office Use Only			
Account No(s)			
Client Code		Branch	
Managers' Initial		Date	

Personal Details									
Full name: Mr/Mrs./Miss/Dr/Rev (Please underline surname)									
National Identity Card No (NIC)									
<i>(Indicate valid Passport Number in the case of Foreign Nationals)</i>									
Date of Birth	<table border="1" style="display: inline-table; border-collapse: collapse; text-align: center;"> <tr> <td>D</td><td>D</td><td>M</td><td>M</td><td>Y</td><td>Y</td><td>Y</td><td>Y</td> </tr> </table>	D	D	M	M	Y	Y	Y	Y
D	D	M	M	Y	Y	Y	Y		
Nationality	☐ Sri Lankan ☐ Resident ☐ Non Resident - Country of Residence ☐ Sri Lankan with Dual Citizenship - Country ☐ Foreign National with dual citizenship / resident in or employed in Sri Lanka Country VISA Expiry Date.....								

Contact Information	
Permanent Address	
Mailing Address	
Foreign address (If applicable)	
Contact No	Res: Mobile: Office:
E-mail	Fax:

Employment Information			
Employment Status	☐ Self employed ☐ Full-time employed	☐ Part-time employed ☐ Not currently employed	☐ Retired ☐ Others (Specify)
Occupation/ Position held			
Name of the Employer			
Address of the Employer			
Nature of Business	☐ Manufacturing ☐ Finance/Insurance ☐ Construction ☐ Retail ☐ Transport ☐ Restaurants ☐ Hotel/ Boarding house ☐ Casino / Gambling house / Night Clubs ☐ Personal & Household Services	☐ Import/ Export ☐ Wholesale ☐ Communications ☐ Business Services ☐ Real Estate ☐ Public Services ☐ Gem and Jewelry ☐ Others (Specify)	

Family Information

Marital Status	Married ☐ Single ☐ Other ☐
Name of Spouse	
Spouse's Occupation/ Position Held	
Spouse's Employer	
No. of Children (dependents)	

Other Information

Ownership of wealth (If property is on rent/ lease, please indicate)	Residential property	Financial assets
	Business premises	Investments
	Motor Vehicles	Other (Specify)
Source of Wealth: Wealth generated from	Business/ Ownership	Inheritance
	Investments	Other (Specify)
	Profession/ Employment	
Other connected Business/ Professional activities and Interest		
Are you or any of your immediate family is a politically exposed person (PEP)? (Refer definition below)	Yes ☐	No ☐
If yes please specify		

FIU Definition

PEP's (Politically Exposed Persons) - Individuals in Sri Lanka or abroad who are or have been entrusted with prominent public functions. E.g. Head of State or government, Senior politicians, Senior government, Judicial or military officials, senior executives of state owned corporations, important political party officials.

Immediate Family Member - Includes the spouse, children and their spouses or partners, parents, siblings and their spouses and grandchildren and their spouses.

Expected Mode of Transactions

☐ Cash ☐ Cheque ☐ Electronic Fund Transfer ☐ Other (Please Specify)

Purpose for opening, maintaining and the account usage

☐ Savings ☐ Utility Bill Payment ☐ Loan Repayment
☐ Investment Purpose ☐ Business Transactions ☐ Share Transactions
☐ Employment/ Professional Income ☐ Family Remittance ☐ Other

Foreign Passport holders (Dual Citizens / Resident in or Employed in Sri Lanka)
(Please give the reason for opening the account in Sri Lanka)

Source of Funds Expected Source and nature of credits into the account (As appropriate)

☐ Family Remittances ☐ Commission Income ☐ Contract Proceeds ☐ Sale/ Business Turnover
☐ Investment Proceeds ☐ Sale of property/assets ☐ Gift ☐ Salary/ Profit Income
☐ Others (Please specify)

Average Monthly Income

☐ Less than 50,000 ☐ 100,001 to 250,000 ☐ 500,001 to 1,000,000
☐ 50,001 to 100,000 ☐ 250,001 to 500,000 ☐ More than 1,000,000

Anticipated Volumes : Expected/Usual average volumes of deposits into the account in Rupees per month

* Expected / Usual average volumes of deposits into the account in rupees per month

☐ Less than 100,000 ☐ 500,001 to 1,000,000 ☐ 5,000,001 to 10,000,000
☐ 100,001 to 500,000 ☐ 1,000,001 to 5,000,000 ☐ More than 10,000,000

Declaration of the Customer

I confirm that the details given above are true and correct. I further undertake to keep AMW Capital Leasing & Finance PLC duly informed, as soon as possible, of change to the information provided above.

.....
Signature

.....
Date

Mandatory Checks (For Office use Only)

1. Name, Date of Birth and Nationality Verification: To be supported by one of the following.

- | | |
|---|---|
| ☐ National Identity Card | ☐ Passport (Unexpired) |
| ☐ Driving License | ☐ Marriage Certificate (Name Change) |

2. Address Verification: Residential address to be supported by one of the following accepted documents
(N.B - Mobile phone bills are not accepted)

- | | | |
|---|---|---|
| ☐ National Identity Card | ☐ Bank Statement | ☐ Letter from a public authority |
| ☐ Driving License | ☐ Utility bill (Specify) | ☐ |
| ☐ Passport | | |

(Photocopies of the above documents should be obtained and certified by the Company Officer as 'Original Seen')

3. Does the customer appear in a Suspected Terrorist List (Sanction List – UNSCR 1373 / 1267) or any other Alert List:

☐ Yes ☐ No If yes (Specify):

4. Is the client politically connected?

☐ Yes ☐ No If yes (Specify):

Documents Reviewed by..... (Signature)

Emp No

Authorized by..... (Signature)

Emp No

System Entry

.....
Input by

.....
Checked by

.....
Approved by

.....
Activated By